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December 13, 2002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of H. Hofland, D. Lamons, and X. Meng

Application No. 09/996,838

Group No. 1632

Filed November 29, 2001

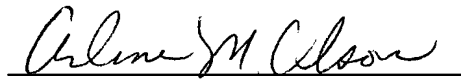
Examiner Not Yet Assigned

Natural and Anionic Colloidal Particles for Gene Delivery

(Atty. Docket No. P 23,643-A USA)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on Friday, December 13, 2002.

  
Arlene M. Olson

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§1.56, 1.97 AND 1.98

Sir:

The status of the present application is that applicants are awaiting receipt of a first Action on the merits. Accordingly, it is believed that no fee or certification is required.

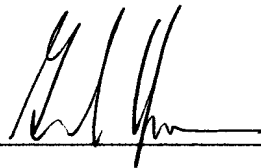
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Attorney Docket No. P 23,643-A USA  
Page 2

Enclosed herewith is a Form PTO-1449 Modified (1 sheet) and the publications listed thereon. It is requested respectfully that the Examiner initial the aforementioned sheets and return a copy of same to the undersigned upon consideration of these publications.

The Commissioner is hereby authorized to charge any fees associated with this communication to Deposit Account No. 19-5425. A duplicate of this letter is attached.

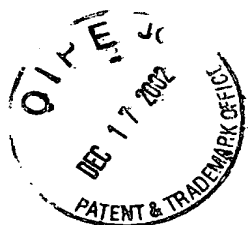
Respectfully submitted,



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|------------------------------------------------------------------------------------------------------------------------------------------------------------|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| Form PTO-1449 Modified                                                                                                                                     |    | Docket No.                                                                                                                                                                         | Application No. |
| List of Patents and Publications Cited by Applicant<br>(Use several sheets if necessary)<br><br>U.S. Department of Commerce<br>Patent and Trademark Office |    | P 23,643-A USA                                                                                                                                                                     | 09/996,838      |
|                                                                                                                                                            |    | Applicant(s)<br>H. Hofland, D. Lamons, and X. Meng                                                                                                                                 |                 |
|                                                                                                                                                            |    | Filing Date<br>November 29, 2001                                                                                                                                                   | Group<br>1632   |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)                                                                                     |    |                                                                                                                                                                                    |                 |
|                                                                                                                                                            | AA | Byk, G. et al., Synthesis, Activity, and Structure-Activity Relationship Studies of Novel Cationic Lipids for DNA Transfer, <i>J. Med. Chem.</i> (1998), 41: 224-235.              |                 |
|                                                                                                                                                            | AB | Fitch, R. <i>Polymer Colloids, A comprehensive Introduction</i> (Section 7.4). Academic Press [Year?].                                                                             |                 |
|                                                                                                                                                            | AC | Pitard, B. et al., Virus-sized Self-assembling Lamellar Complexes Between Plasmid DNA and Cationic Micelles Promote Gene Transfer, <i>PNAS</i> (1997), 94: 14412-21.               |                 |
|                                                                                                                                                            | AD | Takakura, Y. and M. Hashida, Macromolecular Carrier Systems for Targeted Drug Delivery: Pharmacokinetic Considerations on Biodistribution, <i>Pharm. Res.</i> (1996), 136: 820-31. |                 |
|                                                                                                                                                            | AE |                                                                                                                                                                                    |                 |
|                                                                                                                                                            | AF |                                                                                                                                                                                    |                 |
|                                                                                                                                                            | AG |                                                                                                                                                                                    |                 |
|                                                                                                                                                            | AH |                                                                                                                                                                                    |                 |
|                                                                                                                                                            | AI |                                                                                                                                                                                    |                 |
|                                                                                                                                                            | AJ |                                                                                                                                                                                    |                 |
| Examiner                                                                                                                                                   |    | Date Considered                                                                                                                                                                    |                 |